

PATENT
81872.0053

Express Mail Label No. EV 325 217 355 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Yasuhiko SHIMOTSUMA et al.

Serial No: Not assigned

Filed: September 9, 2003

For: Optical Structural Body, Its Manufacturing
Method and Optical Element

Art Unit: Not assigned

Examiner: Not assigned

**TRANSMITTAL OF INFORMATION DISCLOSURE
STATEMENT**

Mail Stop PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The information disclosure statement submitted herewith is being filed concurrently with the subject application [37 C.F.R. § 1.97(b)] and contains no items of information cited in any communication from a foreign patent office in a counterpart foreign application [37 C.F.R. § 1.97(e)(1)].

If it should be determined that for any reason either an insufficient or excessive fee has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 50-1314. A copy of this paper is enclosed.

Respectfully submitted,

HOGAN & HARTSON L.L.P.

By: 

Anthony J. Orler

Registration No. 41,232

Attorney for Applicant(s)

Date: September 9, 2003

500 South Grand Avenue, Suite 1900
Los Angeles, California 90071
Telephone: 213-337-6700
Facsimile: 213-337-6701

PATENT
81872.0053

Express Mail Label No. EV 325 217 355 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Yasuhiko SHIMOTSUMA et al.

Serial No: Not assigned

Filed: September 9, 2003

For: Optical Structural Body, Its Manufacturing
Method and Optical Element

Art Unit: Not assigned

Examiner: Not assigned

**TRANSMITTAL OF INFORMATION DISCLOSURE
STATEMENT**

Mail Stop PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The information disclosure statement submitted herewith is being filed concurrently with the subject application [37 C.F.R. § 1.97(b)] and contains no items of information cited in any communication from a foreign patent office in a counterpart foreign application [37 C.F.R. § 1.97(e)(1)].

If it should be determined that for any reason either an insufficient or excessive fee has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 50-1314. A copy of this paper is enclosed.

Respectfully submitted,

HOGAN & HARTSON L.L.P.

Date: September 9, 2003

By: 

Anthony J. Orlor
Registration No. 41,232
Attorney for Applicant(s)

500 South Grand Avenue, Suite 1900
Los Angeles, California 90071
Telephone: 213-337-6700
Facsimile: 213-337-6701

FORM PTO-1449			Docket Number (Optional) 81872.0053		Application Number Not assigned	
INFORMATION DISCLOSURE CITATION IN AN APPLICATION			Applicant Yasuhiko SHIMOTSUMA et al.			
(Use several sheets if necessary)			Filing Date September 9, 2003		Group Art Unit Not assigned	
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	2000-193823	07/14/00	Japan			Abstract
	2001-066428	03/16/01	Japan			Abstract
	2001-004817	01/12/01	Japan			Abstract
	10-282337	10/23/98	Japan			Abstract
	11-352327	12/24/99	Japan			Abstract
	11-167024	06/22/99	Japan			Abstract
	2001-083321	03/30/01	Japan			Abstract
	2002-311242	10/23/02	Japan			Abstract
	2003-066232	03/05/03	Japan			Abstract
	2003-057442	02/26/03	Japan			Abstract
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
	Toshiaki KONDO et al., "Femtosecond Laser Interference Technique with Diffractive Beam Splitter for Fabrication of Three-Dimensional Photonic Crystal", Applied Physics Letters, Vol. 79, No. 6, August 6, 2001, pp. 725-727.					
	Ken-ichi Kawamura et al., "Holographic Encoding of Fine-Pitched Micrograting Structures in Amorphous SiO ₂ Thin Films on Silicon by a Single Femtosecond Laser Pulse", Applied Physics Letters, Vol. 78, No. 8, February 19, 2001 pp.1038-1040					
EXAMINER		DATE CONSIDERED				
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.						